

#3

MRI-1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not Yet Assigned  
Group : 1614  
Applicants : Jochen Wolffgramm  
Application No. : 09/851,817  
Confirmation No.: 4970  
Filed : May 9, 2001  
For : USE OF AGONISTS OF THE  
GLUCOCORTICOSTEROID AND/OR MINERALO-  
CORTICOSTEROID RECEPTORS, IN  
PARTICULAR CORTICOSTEROIDS FOR THE  
TREATMENT OF ADDICTION

New York, New York  
October 2, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith are (1) a Request for  
Corrected Filing Receipt; and (2) a copy of the official  
Filing Receipt indicating the requested corrections.

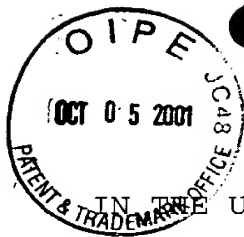
The Commissioner is hereby authorized to charge  
any fee due or to credit any overpayment to Deposit  
Account No. 06-1075. A duplicate copy of this  
Transmittal letter is enclosed herewith.

Respectfully submitted,

James F. Haley, Jr. (Reg. No. 27,794)  
Karen Mangasarian (Reg. No. 43,772)  
Attorneys for Applicant  
c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (212) 596-9000

I Hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

Signature of Person Signing



Receipt  
#4

MRI-1

UNITED STATES PATENT AND TRADEMARK OFFICE

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Group : 1614

Applicants : Jochen Wolffgramm

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New York, New York  
October 2, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

Attention: Office of Initial Patent Examination  
Customer Service Center

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants request that a Corrected Filing Receipt be issued in the above-identified application.

The "Title" of the invention is incorrect. The words "glucocorticostero ID" should be replaced with "glucocorticosteroid". Accordingly, the title should recite "USE OF AGONISTS OF THE GLUCOCORTICOSTEROID AND/OR MINERALO-CORTICOSTEROID RECEPTORS, IN PARTICULAR CORTICOSTEROIDS FOR THE TREATMENT OF ADDICTION".

Applicants request that the Filing Receipt be corrected as indicated on the enclosed copy and that a new receipt be issued.

The error in the Filing Receipt is believed to be the responsibility of the United States Patent and Trademark Office. If for any reason, the error is deemed to be the responsibility of applicants, the Commissioner is hereby authorized to charge payment of any additional fees required in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

*Karen Mangasarian*

James F. Haley, Jr. (Reg. No. 27,794)  
Karen Mangasarian (Reg. No. 43,772)  
Attorneys for Applicant  
c/o FISH & NEAVE  
1251 Avenue of the Americas  
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Tel.: (212) 596-9000

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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

*Michael J. Saintil*  
Michael J. Saintil

*[Signature]*  
Signature of Patent Signing

Applicant is filing this reply before the expiration of the two months from the date of the Notice -- October 2, 2001 -- and thus, no extension fee is due.

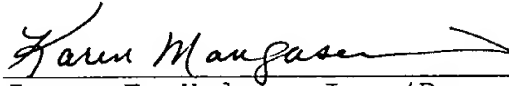
To satisfy the requirements of the Notice, applicant encloses a Declaration and Power of Attorney signed by the inventor in compliance with 37 C.F.R. § 1.63 and encloses a check for \$65.00 in payment of the outstanding surcharge.

The Initial Patent Examination Division has indicated that an additional \$135.00 surcharge for multiple dependent claims is required. Applicant respectfully submits that the \$135.00 fee for multiple dependent claims was included in the filing fee of \$490.00. Applicants includes herewith a copy of the transmittal letter wherein the fee calculation is demonstrated (Exhibit A), a copy of the check for \$490.00 (\$355.00 basic filing fee + \$135.00 surcharge for multiple dependent claims) (Exhibit B) and a copy of the date stamped return postcard (Exhibit C). Accordingly, applicant believes that no additional surcharge is required.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any

overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this response is enclosed.


Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)  
Karen Mangasarian (Reg. No. 43,772)  
Attorneys for Applicant  
c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (212) 596-9000  
Fax.: (212) 596-9090

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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

  
Claire J. Saintil

  
Signature of Person Signing

62776

1-8210  
280

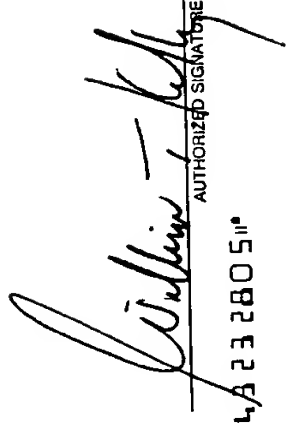
**FISH & NEAVE**  
1251 AVENUE OF THE AMERICAS  
NEW YORK, NY 10020

THE CITIBANK PRIVATE BANK  
153 EAST 53RD STREET, SUITE NO. 2411  
NEW YORK, NY 10043

DATE      CHECK NO.      CHECK AMOUNT  
May 8, 2001      62776      \$490.00

PAY      Four hundred ninety & 0/100

TO      Director of U.S. Patent and  
THE      Trademark Office  
ORDER      Washington, DC 20231  
OF

  
AUTHORIZED SIGNATURE

⑈062776⑈ ⑆021000089⑆ 400 43232805⑈

**REMITTANCE ADVICE**

VENDOR NAME

VENDOR NO.

**FISH & NEAVE**  
1251 AVENUE OF THE AMERICAS  
NEW YORK, NY 10020

TRANSACTION DATE	REFERENCE	GROSS AMOUNT	DEDUCTION	NET AMOUNT
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Filing Fee  
03747.055

MRI-1

APPLICANT Jochen Wolffgramm

TITLE: USE OF AGONISTS OF THE GLUCOCORTICOSTEROID AND/OR  
MINERALOCORTICOSTEROID RECEPTORS, IN PARTICULAR  
CORTICOSTEROIDS FOR THE TREATMENT OF ADDICTION

PAGES OF APPLICATION 35

TRANSMITTAL LETTER (IN DUPLICATE)

NUMBER OF CLAIMS 10 SHEETS OF DRAWING

PRINT EFS FORM

DECLARATION: ☐ EXECUTED ☒ UNEXECUTED

PRELIMINARY AMENDMENT WITH APPENDIX A AND APPENDIX B

CHECK IN THE AMOUNT OF \$490.00

ASSIGNMENT & CHECK IN THE AMOUNT OF

Receipt is hereby acknowledged of the above

JFH/KM  
03747.001

EI125463228US

COMMISSIONER OF PATENTS  
AND TRADEMARKS

JC996 U.S. PTO  
09/05/01  
05/09/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/851,817	05/09/2001	1614	355	MRI-1		10	2

CONFIRMATION NO. 4970

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

## FILING RECEIPT



\*OC00000006280412\*

Date Mailed: 08/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Jochen Wolffgramm, Berlin, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/EP99/08598 11/09/1999

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 98 12 1338.2 11/10/1998

If Required, Foreign Filing License Granted 07/06/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

glucocorticosteroid

Use of agonists of the glucocorticosteroid and/or mineralocorticosteroid receptors, in particular corticosteroids for the treatment of addiction

Preliminary Class





MRI-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TREATMENT OF ADDICTION

New York, New York  
October 2, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

This is in reply to the August 2, 2001 Notice  
to File Missing Parts ("Notice"), a copy of which is  
enclosed herewith.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,817	05/09/2001	Jochen Wolffgramm	MRI-1

CONFIRMATION NO. 4970

## FORMALITIES LETTER



\*OC00000006280413\*

 1473  
 FISH & NEAVE  
 1251 AVENUE OF THE AMERICAS  
 50TH FLOOR  
 NEW YORK, NY 10020-1105

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$135.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 200.

 Void date: 10/18/2001 SDUONG  
 10/18/2001 SDUONG 00000004 061075 09851817  
 01 FC:204 140.00 CR

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2001 BABRAHA1 00000056 09851817

01 FC:205 65.00 OP

10/18/2001 SDUONG 00000004 061075 09851817

01 FC:204 140.00 CH